PTO-1390 (Rev. 02-2005)
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TRANSMITTAL LETTER TO THE UNITED STATES R2184.0432/P432 DESIGNATED/ELECTED OFFICE (DO/EO/US) 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2004/002046 20 February 2004 24 February 2003 MEDIUM FOR OPTICAL RECORDING AND APPARATUS FOR OPTICAL TITLE OF INVENTION INFORMATION PROCESSING APPLICANT(S) FOR DO/EO/US Hideaki Hirai Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must 3. Х include items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). 5. х A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). h. х has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). C. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). 6. is attached hereto. a. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 8. 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. x An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 15. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: WO 2004/074908 (coversheet), Intl. Search Report, Written Opinion

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U.S. APPLICATION O (17 Andrews 55) 37 CEM 1.59 INTERNATIONAL APPLICATION NO.							ATTORNEY'S DOCKET NUMBER			
PCT/JP2004/002046							R2184.0432/P432			
The following fees have been submitted									CALCULATIONS	PTO USE ONLY
21. x Basic							\$300	\$	300.00	
22. x Examination fee										
If International preliminary examination report prepared by USPTO and all claims satisfy										
provisions of PCT Article 33(1)-(4)								s	200.00	
23. X Search fee									200.00	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the										
USPTO as an International Searching Authority\$100										
International Search Report prepared and provided to the Office \$400 All other situations \$500									400.00	
TOTAL OF 21, 22 and 23 =									900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding										-
sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.										
I Nur				umber of each additional 50 or fraction				1		
Total Sheets			thereof (round			nd up to a whole number x \$250.00				
62 - 100 =	20.00		/50 =					╀		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).										
CLAIMS		NUMBER I			BER EXTRA	F	RATE	T		
Total claims	3 .	12 - 20 =			x			\$	0.00	
Independent cla	dependent claims 2 - 3 =					х			0.00	
MULTIPLE DEPENDENT CLAIM(s) (if applicable) +										
TOTAL OF ABOVE CALCULATIONS =									900.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.								\$		
SUBTOTAL =								\$	900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).								\$		
TOTAL NATIONAL FEE =								s	900.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be										
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property									40.00	
TOTAL FEES ENCLOSED =									940.00	
									mount to be funded:	\$
									mount to be	
									narged:	\$
a. A check in the amount of \$ to cover the above fees is enclosed.										
b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any										
overpayment to Deposit Account No. <u>04-1073</u> . A duplicate copy of this sheet is enclosed.										
d. x Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card										
information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.										
SEND ALL CORRESPONDENCE TO:										
Mark J. Thronson 'SIGNATURE: 'SIGNATURE: Mark									Thronson	
2101 L Street NW NAME									· · · · · · · · · · · · · · · · · · ·	
Washington, DC 20037-1526										
(202) 775-4742								33	,082	
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